# CONSENT: Scalable self-correction of long reads with multiple sequence alignment 

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## Introduction

## Context

- 2011: Inception of third generation sequencing technologies
- Two main actors: Pacific Biosciences (PacBio) and Oxford Nanopore Technologies (ONT)
- Sequencing of much longer reads, tens of kbps on average, up to 1 Mbp (ONT ultra-long reads)
- Expected to solve various problem in the genome assembly field


## Introduction

## Context

- Long reads (LR) are very noisy (10-30\% error rate)
- Display complex error profiles (errors are mostly indels)
- Efficiently handling these error rates is mandatory
- Can be done via correction: hybrid or self


## Introduction

## Hybrid correction

- First efficient approach for LR error correction
- Makes use of complementary short reads (SR) data
- Different approaches: Alignment of SRs to the LRs, use of a De Bruijn graph (DBG), ...
- Particularly useful on old sequencing experiments (very high error rates)


## Introduction

## Self-correction

- Corrects the LRs solely based on the information they contain
- Third generation sequencing technologies evolve fast
- Error rates of the LRs now reach 10-12\% on average
- Error correction is still the first step of many analysis projects
- Self-correction is now a viable alternative with such error rates


## Introduction

## Self-correction

State-of-the-art:
(1) Compute overlaps between the LRs
(2) Compute consensus from the overlaps

## Introduction

## Pseudo Multiple Sequence

## Alignment (MSA)

- Build a directed acyclic graph (DAG) to represent the pseudo MSA and compute consensus

- Divide the alignments into small windows - Correct the windows indanandently, with DDGS

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- Build a directed acyclic graph (DAG) to represent the pseudo MSA and compute consensus



## De Bruijn graph

- Divide the alignments into small windows
- Correct the windows independently with DBGs

```
.GATCGGG. .TAT.TGCCCGTGTTTATGCGTGTG R R1
TGTTCAGGCAAATATG...GAAACAAGGCCTG.. R2
GAT . .CGGGTATTGCCCGTGTTTATGCGTG..TG R R 
TATTTCTG..AT.GCGC.TGACTTTTCTTGGCAG R R
```


## Introduction

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## De Bruijn graph

- Divide the alignments into small windows
- Correct the windows independently with DBGs

|  |  |
| :--- | :--- |
| .GATCGGG. . TAT. TGCCCGTGTTTATGCGTGTG | $R_{1}$ |
| TGTTCAGGCAAATATG....GAAACAAGGCCTG.. | $R_{2}$ |
|  |  |
| GAT. .CGGGTATTGCCCGTGTTTATGCGTG..TG | $R_{1}$ |
| TATTTCTG. .AT.GCGC. TGACTTTTCTTGGCAG | $R_{3}$ |

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## Introduction

## Contribution

- We introduce CONSENT, a new self-correction method that:
- Combines the two previous approaches (MSA + DBG)
- Computes actual MSA
- Compares well to the state-of-the-art, and scales better
- Is also able to polish contigs


## Pre-treatment

## Overlap the long reads

- Currently with Minimap2 [Li, 2018]
- But not dependent on the aligner



## First step: Retrieve alignment piles

## Select a long read to correct



## First step: Retrieve alignment piles

## Retrieve overlapping long reads



## First step: Retrieve alignment piles

## Get the alignment pile



## First step: Retrieve alignment piles

## Trim the alignment pile



## First step: Retrieve alignment piles

## Trim the alignment pile

## A



## Second step: Divide piles into windows

## Definition

A window $w=($ beg, end $)$ is a "factor" of an alignment pile

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## Second step: Divide piles into windows

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A window $w=(b e g, e n d)$ is a "factor" of an alignment pile

## Example



## Second step: Divide piles into windows

For correction, we will only consider windows $w=($ beg, end $)$ such as:

- end - beg $+1=1$
- $\forall i$, beg $\leq i \leq e n d, i$ is covered by at least $c$ reads


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## Example

On the previous example, with $c=4$ :


## Third step: Compute consensus of a window

## 2. Compute consensus

- Compute MSA of these sequences
- Compute consensus from the MSA
- Unlike other methods, actual MSA is computed
- $\Rightarrow$ POA [Lee et al., 2002]


## Third step: Compute consensus of a window

## POA (Partial Order Alignment)

- Multiple sequence alignment strategy based on partial order graphs
- Two interests:
(1) Computes actual multiple sequence alignment
(2) Directly builds the DAG representing the multiple sequence
alignment

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## Third step: Compute consensus of a window

## Segmentation strategy

- In practice, we use windows of a few hundred bases
- POA is time consuming, even on such windows
- We developed a segmentation strategy
- Compute MSA and consensus for smaller sequences $\Rightarrow$ faster


## Third step: Compute consensus of a window

## Segmentation strategy

1. Compute shared anchors between the window's sequences DEROUEN

## Third step: Compute consensus of a window

## Segmentation strategy

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## Third step: Compute consensus of a window

## Segmentation strategy

2. Search for the longest anchors chain such as $\forall A_{i}, A_{i+1}$ :
(1) $A_{i}$ is followed by $A_{i+1}$ in at least $N$ sequences
(2) $A_{i+1}$ is never followed by $A_{i}$

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## Fourth step: Polish the consensus

## Approach

- Build a DBG from the window's sequences
- Consensus $\Rightarrow$ solid $k$-mers in uppercase, weak $k$-mers in lowercase
GATCGGGTcatTGCCCGTGTTTATGCGTgtg
- Correct lowercase regions
- Bordered regions $\Rightarrow$ Traverse the graph to find a path between solid, anchor $k$-mers
- Extremities $\Rightarrow$ Traverse the graph as much as possible


## Fifth step: Anchor the consensus to the read

## By alignment

- Get the polished consensus
- Locally align it to the LR, around the positions of the window

- Repeat with other windows


## Segmentation strategy validation

## Results

- Simulated PacBio dataset from E. coli, 50x, 12\% error rate
- Simulated with SimLoRD [Stöcker et al., 2016]
- Statistics obtained with LRCstats [La et al., 2017]

|  | Without segmentation | With segmentation |
| :---: | :---: | :---: |
| Throughput | $214,667,382$ | $215,693,736$ |
| Error rate (\%) | 0.0757 | 0.0722 |
| Runtime | 5 h 31 min | 7 min |
| Memory (MB) | 750 | 675 |

## Comparison to state-of-the-art

Datasets

| Dataset | Number of reads | Average length | Error rate | Coverage |
| :--- | :---: | :---: | :---: | :---: |
| Simulated Pacific | Biosciences data |  |  |  |
| E. coli | 33,918 | 8,211 | 12.28 | 60 x |
| S. cerevisiae | 90,397 | 8,204 | 12.29 | 60 x |
| C. elegans | 732,832 | 8,220 | 12.28 | 60 x |
| Real Oxford Nanopore data |  |  |  |  |
| D. melanogaster | $1,327,569$ | 6,828 | 14.57 | 63 x |
| H. sapiens, chr1 | $1,075,867$ | 6,744 | 17.60 | 29 x |

## Comparison to state-of-the-art

## Compared tools

- Canu [Koren et al., 2017]
- Daccord [Tischler and Myers, 2017]
- FLAS [Bao et al., 2018]
- MECAT [Xiao et al., 2017]


## Comparison to state-of-the-art

## Simulated data

|  |  |  |  |  |  |  | Total |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Dataset | Corrector | Throughput (Mbp) | Error rate (\%) | Deletions (\%) | Insertions (\%) | Substitutions (\%) | Runtime | Memory (MB) |
|  | Original | 279 | 12.2788 | 2.6437 | 8.7919 | 0.8432 | N/A | N/A |
|  | Canu | 219 | 0.5211 | 0.1390 | 0.4045 | 0.0243 | 24 min | 3,674 |
| \% | Daccord | 261 | 0.0175 | 0.0026 | 0.0062 | 0.0103 | 54 min | 18,450 |
| 山 | FLAS | 260 | 0.1039 | 0.0907 | 0.0220 | 0.0010 | 38 min | 2,428 |
|  | MECAT | 233 | 0.1011 | 0.0896 | 0.0203 | 0.0008 | 5 min | 2,387 |
|  | CONSENT | 259 | 0.0590 | 0,0368 | 0.0241 | 0.0037 | 36 min | 4,849 |
|  | Original | 742 | 12.2886 | 2.6484 | 8.7963 | 0.8439 | N/A | N/A |
|  | Canu | 600 | 0.5615 | 0.1518 | 0.4309 | 0.0292 | 1 h 11 min | 3,710 |
| $\frac{5}{3}$ | Daccord | 696 | 0.0305 | 0.0055 | 0.0180 | 0.0100 | 2 h 26 min | 32,190 |
| ¢ | FLAS | 690 | 0.1430 | 0.1215 | 0.0319 | 0.0031 | 1 h 30 min | 4,984 |
| $\omega$ | MECAT | 617 | 0.1365 | 0.1189 | 0.0286 | 0.0020 | 16 min | 4,954 |
|  | CONSENT | 690 | 0.1418 | 0.0735 | 0.0650 | 0,0166 | 1 h 46 min | 11,325 |
|  | Original | 6,024 | 12.2825 | 2.6457 | 8.7937 | 0.8432 | N/A | N/A |
|  | Canu | 5,112 | 0.7934 | 0.2881 | 0.4107 | 0.0371 | 9 h 30 min | 7,050 |
| \% | Daccord |  | - | - | - | - | - | - |
| $\stackrel{0}{0}$ | FLAS | 5,584 | 0.3997 | 0.4604 | 0.1008 | 0.0224 | 10 h 45 min | 13,682 |
| 0 | MECAT | 4,938 | 0.2675 | 0.2535 | 0.0402 | 0.0022 | 2 h 43 min | 10,563 |
|  | CONSENT | 5,604 | 0.5730 | 0.3282 | 0.2273 | 0.0504 | 27 h 04 min | 32,284 |

## Comparison to state-of-the-art

## Real data

| Dataset | Corrector | Number of reads | Throughput (Mbp) | N50 (bp) | Aligned reads (\%) | Alignment identity (\%) | Genome coverage (\%) | Total |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | Runtime | Memory (MB) |
|  | Original | 1,327,569 | 9,064 | 11,853 | 85.52 | 85.43 | 98.47 | N/A | N/A |
|  | Canu | 829,965 | 6,993 | 12,694 | 98.05 | 95.20 | 97.89 | 14 h 04 min | 10,295 |
|  | Daccord | - | - | - | - | - | - | - | - |
|  | FLAS | 855,275 | 7,866 | 11,742 | 95.65 | 94.99 | 98.09 | 10 h 18 min | 18,820 |
|  | MECAT | 849,704 | 7,288 | 11,676 | 99.87 | 96.52 | 97.34 | 1 h 54 min | 13,443 |
| 0 | CONSENT | 1,065,621 | 8,178 | 12,297 | 99.26 | 96.72 | 98.20 | 38 h | 51,361 |
| $\frac{\mathscr{\varrho}}{\frac{\oplus}{\infty}}$ | Original | 1,075,867 | 7,256 | 10,568 | 88.24 | 82.40 | 92.46 | N/A | N/A |
|  | Canu ${ }^{1}$ | - | - | - | - | - | - | - | - |
|  | Daccord ${ }^{1}$ | - | - |  | - |  |  | $-$ |  |
|  | FLAS ${ }^{1}$ | 670,708 | 5,695 | 10,198 | 99.06 | 91.00 | 92.37 | 4 h 57 min | 14,957 |
| I | MECAT ${ }^{1}$ | 667,532 | 5,479 | 10,343 | 99.95 | 91.69 | 91.44 | 1 h 53 min | 11,075 |
|  | CONSENT | 869,462 | 6,349 | 10,839 | 99.59 | 93.00 | 92.40 | 8 h 30 min | 45,869 |

${ }^{1}$ ultra-long reads were filtered out

## Comparison to state-of-the-art

## Contigs polishing

| Dataset | Method | Contigs | Aligned contigs | NGA50 | Genome coverage | Errors / 100 kbp | Runtime (CPU sec) | Memory (MB) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| E. coli | Original | 1 | 1 | - | 0.89 | 10,721 | N/A | N/A |
|  | RACON | 1 | 1 | 4,663,914 | 99.90 | 499 | 5,597 | 628 |
|  | CONSENT | 1 | 1 | 4,637,588 | 99.90 | 78 | 334 | 4,192 |
| S. cerevisiae | Original | 29 | 29 | - | 0.87 | 10,694 | N/A | N/A |
|  | RACON | 29 | 29 | 539,433 | 96.09 | 637 | 14,931 | 1,673 |
|  | CONSENT | 29 | 29 | 535,665 | 96.12 | 208 | 1,616 | 9,232 |
| C. elegans | Original | 47 | 46 | - | 0.95 | 10,611 | N/A | N/A |
|  | RACON | 47 | 47 | 5,073,456 | 99.71 | 819 | 136,325 | 14,264 |
|  | CONSENT | 47 | 47 | 3,737,577 | 99.57 | 330 | 30,907 | 32,144 |

## Take-home messages

- CONSENT:
- Self-correction of long reads
- Compares well to the state-of-the-art
- Only method able to scale to ONT ultra-long reads
- Also performs contigs polishing
- Specificities:
- Combines two state-of-the-art approaches: MSA + DBG
- Computes actual MSA
- Uses a segmentation strategy to quickly compute MSA
- Availability:
- Software: https://github.com/morispi/CONSENT
- Preprint on bioRxiv: https://doi.org/10.1101/546630


## Future works

- Optimize the parameters (size of the windows, of $k$, etc)
- Reduce runtime: Deeply covered windows
- Segmentation strategy seems promising $\Rightarrow$ Apply it to a greater scale


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